

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Number: 7,319,971 B2

Issued: January 15, 2008

Name of Patentee: Abrahams et al.

Title of Invention: SYSTEM FOR MANAGING RISK

Director of the United States Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 CFR 1.322(a))**

1. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

NOTE: Form PTO-1050, using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. MPEP § 1485.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the certificate of correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. MPEP § 1485.

2. The exact page and line number where the errors are shown correctly in the application file are:

NOTE: This information should be identified in this request, however, on Form PTO-1050, only the column and line number in the printed patent should be used. MPEP § 1485.

1. *Application filed January 31, 2001, page 24, line 6*
2. *Amendment filed June 6, 2005, page 4*
3. *Amendment filed June 6, 2005, page 4*
4. *Amendment filed June 6, 2005, page 4*
5. *Amendment filed June 6, 2005, page 4*
6. *Application filed January 31, 2001, page 32, line 2*

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**CERTIFICATE OF MAILING/TRANSMISSION UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is, on the date shown below, being:

**ELECTRONIC MEANS**

☒ transmitted by electronic means to the  
U.S. Patent and Trademark Office.

Signature

/Jennifer Hanlon/ March 7, 2008  
Jennifer Hanlon Date  
(type or print name of person certifying)

3. Please send the Certificate to:

Name: James A. Retter  
Ware, Fressola, Van Der Sluys & Adolphson LLP  
Address: Bradford Green, Building Five, 755 Main Street, P.O. Box 224  
Monroe, Connecticut 06468

(Complete, if applicable)

\_\_\_\_\_  
Signature(s) of patentee(s)

\_\_\_\_\_  
or

\_\_\_\_\_  
(type or print name of assignee)

/James A. Retter/  
Signature of assignee or person authorized to  
sign on behalf of assignee

☐ Assignment recorded on

Date \_\_\_\_\_

James A. Retter (41,266)  
(type or print name of authorized person signing)

Reel \_\_\_\_\_

Frame \_\_\_\_\_

Attorney for Patentee  
(Title of authorized person signing)

☐ Recordal of assignment attached

☐ Attached is a "CERTIFICATE UNDER 37 CFR 3.73(b)" establishing the right of the assignee to take action in this case.

NOTE: "A certificate of correction, under 35 U.S.C. 254, may be issued at the request of the patentee or his assignee." 37 CFR 1.322(a). The certificate of correction can be signed by the attorney of record who acts on behalf of the inventor(s) or assignee(s).

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,319,971 B2  
APPLICATION NO. : 09/774,538  
ISSUE DATE: : January 15, 2008  
INVENTOR(S) : Ian E. Abrahams et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

1. In column 12, line 7, "the" should be --be--.
2. In column 14, line 29, "file manager application" should be deleted.
3. In column 14, line 34, "the file manager application" should be deleted.
4. In column 14, lines 35 and 36, "of Microsoft Corporation" and "the file manager application" should be deleted.
5. In column 14, lines 36 & 37, "of Microsoft Corporation" should be deleted.
6. In column 15, line 63, "adcount" should be --account--.

MAILING ADDRESS OF SENDER:

DOCKET # 2-591.5

PATENT NO. 7,319,971

James A. Retter  
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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.